



1/m 2611

Patent
PD-200181
Customer No. 020991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen


Serial No.: 09/844,401
Filed: April 27, 2001

For: LAYERED MODULATION FOR
DIGITAL SIGNALS

Group Art Unit: 2611
Examiner: Emmanuel Bayard

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Date: October 18, 2006

SUPPLEMENTAL DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 (d)
WITH FEE AS SET FORTH IN §1.17(p)

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Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

The references were cited in the European Search Report, date of communication being September 29, 2006, in the counterpart European patent application of related U.S. patent application serial number 10/532,631 filed April 25, 2005.

This statement should be considered because it is submitted after the period specified under 37 C.F.R. §1.97(c), but filed on or before payment of the issue fee as set forth in 37 C.F.R. § 1.97(d).

10/23/2006 RFEKADU1 00000036 500383 09844401

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Certification Under 37 C.F.R. §1.97(e)

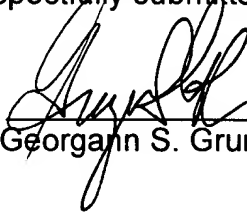
Each item of information contained in the information disclosure statement was first cited in a communication from the European patent office in the counterpart foreign application of a related application not more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. §1.97(e)(1)).

PAYMENT OF FEE SET FORTH IN §1.17(p)

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Respectfully submitted,

By: 
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October 18, 2006



Substitute for form PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known	
	Application Number	09/844,401
	Filing Date	04/27/2001
	First Named Inventor	Ernest Chen
	Art Unit	2611
	Examiner Name	BAYARD, Emmanuel
Date:	October 18, 2006	
Sheet 1 of 1	Attorney Docket Number	PD-200181

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2002/006795	01-2002	Norin et al.	
		US-6,333,924	12-2001	Porcelli et al.	
		US-2001/012322	08-2001	Nagaoka et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		WO 2005/086444	09-2005	Thomson Licensing S.A.		
		WO 2005/074171	08-2005	Qualcomm Incorporated		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.